



**U.S. SMALL BUSINESS ADMINISTRATION
OFFICE OF INSPECTOR GENERAL
WASHINGTON, D.C. 20416**

May 12, 2020

Brian T. Pattison
Assistant Inspector General for Evaluation
U.S. Department of Housing and Urban Development
Office of Inspector General

Subject: External Peer Review Report

Dear Mr. Pattison:

We, the Council of the Inspectors General on Integrity and Efficiency (CIGIE) external peer review team (Review Team), conducted the required external peer review of the U.S. Department of Housing and Urban Development's Office of Inspector General (HUD OIG). The Review Team conducted this external peer review in accordance with the CIGIE Inspection and Evaluation Committee guidance as contained in the *Guide for Conducting Peer Reviews of Inspection and Evaluation Organizations of Federal Offices of Inspector General*. This peer review is part of the first 3-year cycle of CIGIE-sponsored external peer reviews of the *Quality Standards for Inspection and Evaluation* (Blue Book). During this cycle, peer reviewers do not provide ratings of "pass/fail" or "compliant/non-compliant." Rather, the purpose of the peer review is to (1) facilitate learning across the inspections and evaluations community and (2) support a fully professional inspection and evaluation function across the IG community.

The Review Team consisted of staff from the Small Business Administration's Office of Inspector General, the Board of Governors of the Federal Reserve System's and Consumer Financial Protection Bureau's Office of Inspector General, and the Special Inspector General for Afghanistan Reconstruction. We performed our review from August 13, 2019, through January 16, 2020.

The Review Team assessed the extent to which HUD OIG met seven Blue Book standards, specifically: Quality Control; Planning; Data Collection and Analysis; Evidence; Records Maintenance; Reporting; and Follow-up. This assessment included a review of HUD OIG's internal policies and procedures¹ implementing the seven required January 2012 Blue Book standards. It also included a review of selected inspection and evaluation reports issued between July 1, 2018, and June 30, 2019, to determine whether the reports complied with the covered Blue Book standards and HUD OIG's internal policies and procedures.

¹ HUD OIG *Evaluation and Inspection Policies and Procedures Manual* dated February 2, 2017.

The Review Team determined that HUD OIG's policies and procedures generally met the seven Blue Book standards addressed in the external peer review. Of the four reports reviewed, three generally complied with HUD OIG's policies and procedures; however, one report did not follow certain aspects of policies and procedures related to Evidence, Records Maintenance and Reporting. Additionally, all four reports did not follow certain aspects of policies and procedures related to Quality Control and Data Collection and Analysis.

Regarding Blue Book standards, the Review Team determined that three of four reports generally complied with the seven standards. However, one report did not fully meet the Quality Control, Evidence, Records Maintenance, and Reporting standards. In addition, all four reports did not fully meet the Data Collection and Analysis Standard.

We are issuing a separate letter of comment dated May 8, 2020, that sets forth specific findings and recommendations identified during the peer review.

Andrea L. R. Deadwyler
Assistant Inspector General for Audits, SBA OIG

cc: Nomi Taslitt, Director Office of Quality Control, SIGAR
Michael VanHuysen, Assistant Inspector General for Audits and Evaluations,
FRB/CFPB OIG

Enclosure