

List of Open Recommendations to Present

Report Number	Report Date	Recommendation number	Recommendation	Balance Due
2013-ITED-0001	11/29/2013	32	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2013-ITED-0001	11/29/2013	36	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	10	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	17	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	32	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-OE-0002	2/12/2016	2	We recommend that the Deputy Secretary strengthen DEC's authority to enforce program requirements. Program offices should be directed to incorporate risk management procedures, to include risk-based, data-driven referrals to DEC, and implement a process that allows DEC to recommend enforcement actions independently. The Deputy Secretary or designee should be the final arbiter when disagreements arise.	N/A
2015-OE-0001	11/19/2015	6	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0001	11/19/2015	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0002	9/30/2015	11	Ensure that the Executive Investment Board meets in accordance with IT governance policy (related to recommendation from GAO-15-56)	N/A
2015-OE-0006	6/29/2015	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0006	6/29/2015	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0006	6/29/2015	11	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	7/6/2017	3	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	7/6/2017	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	7/6/2017	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	7/6/2017	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0004S	3/29/2017	2	We recommend that the Director for the Office of Field Management update the risk analysis guidance for CDBG-DR grants to include the assessment of the likelihood of risk occurrence to help inform management which critical risks to address during monitoring.	N/A
2016-OE-0006	11/25/2016	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0006	11/25/2016	11	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2017-OE-0007	10/31/2017	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	10/31/2017	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	10/31/2017	15	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	10/31/2017	17	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0001	9/13/2018	3	Document the roles and specific responsibilities of all positions assigned privacy responsibilities. B. Communicate these responsibilities on a recurring basis, at least annually, to individuals holding these positions.	N/A
2018-OE-0001	9/13/2018	4	Implement thorough human capital processes to ensure execution of the HUD privacy program and all its requirements	N/A
2018-OE-0001	9/13/2018	6	Ensure the privacy program is integrated with the enterprise risk program and that privacy risks are incorporated into the agency risk management process	N/A
2018-OE-0001	9/13/2018	9	Develop a dedicated budget to address Privacy Office training needs and initiatives	N/A
2018-OE-0001	9/13/2018	10	Update all privacy guidance to reflect current Federal requirements and processes.	N/A
2018-OE-0001	9/13/2018	14	Ensure role-based privacy training is provided to all personnel with privacy responsibilities	N/A
2018-OE-0001	9/13/2018	15	Ensure privacy awareness training is provided to all contractor and third party personnel	N/A
2018-OE-0001	9/13/2018	20	Develop the technical capability to identify, inventory, and monitor the existence of PII within the HUD environment	N/A
2018-OE-0001	9/13/2018	21	Develop and implement a process to inventory all agency PII holdings not less than annually. [Dependent upon completion of Recommendation 20	N/A
2018-OE-0002	6/12/2018	2	We recommend that the Assistant Secretary for Administration periodically provide training on the occupant emergency plan once it is updated.	N/A
2018-OE-0003	10/31/2018	1	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	12	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	14	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	16	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	22	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	23	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	25	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	27	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	30	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0004	8/13/2018	3	We recommend that the Deputy Secretary direct PIH and OCIO to ensure that the Section 184 program transitions away from dependency on CHUMS.	N/A
2018-OE-0004	8/13/2018	4	We recommend that OCIO continue to develop required ONAP-LOS capabilities using cloud environments as appropriate	N/A
2018-OE-0004	8/13/2018	5	We recommend ONAP 5. Coordinate and participate in resolving all open recommendations from evaluation report IT System Management and Oversight of the Section 184 Program (2018-OE-0004)	N/A

2019-OE-0001	2/4/2020	2	We recommend that the Director of the Office of Field Operations ensure that referrals to the Assistant Secretary for Public and Indian Housing recommend only recovery options allowed by the law and regulations.	N/A
2019-OE-0001	2/4/2020	3	We recommend that the Director of the Office of Field Operations update training to include the actions that PIH must take when a troubled PHA does not meet the 1- or 2-year recovery requirements.	N/A
2019-OE-0001	2/4/2020	4	We recommend that the Director of the Office of Field Operations provide training on remedies for long-term troubled PHAs to all PIH staff members who routinely interact with troubled PHAs.	N/A
2019-OE-0002	6/24/2020	3	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	5	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	6	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	11	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	12	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	13	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	14	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	15	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	16	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	20	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	23	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	24	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	25	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	26	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002a	6/25/2020	3	Update and obtain final NARA approval of all HUD records retention schedules, including the Capstone email schedule, to comply with Federal requirements, including OMB M-19-21.	N/A
2019-OE-0002a	6/25/2020	4	Develop and approve an enterprise strategy to meet all M-19-21 electronic transition requirements.	N/A
2019-OE-0002a	6/25/2020	5	Issue a formal policy and requirements for managing CUI.	N/A
2019-OE-0002a	6/25/2020	7	Complete the development of performance measures and establish a formal records evaluation process to measure the effectiveness and progress of the records management program.	N/A

2020-OE-0001	11/30/2020	25	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	26	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0002	8/2/2021	1	We recommend that the Chief Human Capital Officer standardize position descriptions and job analyses for mission-critical occupations, high-risk occupations, and positions with high-volume staffing needs.	N/A
2020-OE-0002	8/2/2021	2	We recommend that the Chief Human Capital Officer develop and share best practices with HUD's program offices on how hiring managers can execute hiring process responsibilities to meet timeliness goals.	N/A
2020-OE-0002	8/2/2021	3	We recommend that the Chief Human Capital Officer determine the cause for the variations in the timeliness of the preemployment and security checks step in FY 2017, 2018, and 2019 and develop appropriate process improvements.	N/A
2020-OE-0002	8/2/2021	4	We recommend that the Chief Human Capital Officer develop and document comprehensive reference documents on the hiring processes, procedures, roles, and responsibilities.	N/A
2020-OE-0002	8/2/2021	5	We recommend that the Chief Human Capital Officer develop and implement regular training for process owners on the hiring process.	N/A
2020-OE-0002	8/2/2021	6	We recommend that the Chief Human Capital Officer create and implement a knowledge management strategy, such as developing standard operating procedures, reference sheets, and program office fact sheets.	N/A
2020-OE-0002	8/2/2021	7	We recommend that the Chief Human Capital Officer conduct a feasibility study for an automated POL.	N/A
2020-OE-0002	8/2/2021	8	We recommend that the Chief Human Capital Officer develop and implement time-to-hire goals that account for each hiring process step.	N/A
2020-OE-0002	8/2/2021	9	We recommend that the Chief Human Capital Officer develop and document methodologies on how OCHCO calculates hiring metrics to ensure that its hiring metrics and hiring goals align across all of its data sources, including the POL, the human capital dashboard, and the HCOP.	N/A
2020-OE-0002	8/2/2021	10	We recommend that the Chief Human Capital Officer track the quality of candidates as measured by the hiring officials.	N/A
2020-OE-0002	8/2/2021	11	We recommend that the Chief Human Capital Officer track the number of recruit attempts that result in a selection, the number of recruit attempts that result in a successful hire, and the number of positions that are reposted due to unsuccessful first recruit attempts.	N/A
2020-OE-0003	4/8/2021	1	We recommend that the Director of the Office of Environment and Energy develop and issue a departmentwide policy that notes that radon is a radioactive substance and outlines HUD's requirements to test for and mitigate excessive radon levels in accordance with 24 CFR 50.3(i)(1) and 58.5(i)(2)(i).	N/A
2020-OE-0003	4/8/2021	2	We recommend that the Director of the Office of Environment and Energy develop and provide training for applicable program staff, grantees, and PHAs on radon testing and mitigation requirements.	N/A
2020-OE-0003	4/8/2021	3	We recommend that the Director of the Office of Environment and Energy develop and implement an effective radon policy to ensure that CPD program activities comply with the departmentwide policy on radon testing and mitigation requirements.	N/A
2020-OE-0003	4/8/2021	4	We recommend that the Director of Multifamily's Program Administration Office update the current Multifamily radon policy to ensure that program activities comply with the departmentwide policy on radon testing and mitigation requirements.	N/A
2020-OE-0003	4/8/2021	5	We recommend that PIH's Director of Policy, Programs, and Legislative Initiatives revise the current PIH radon policy to align with 24 CFR 50.3(i)(1) and 58.5(i)(2)(i).	N/A
2020-OE-0003	4/8/2021	6	We recommend that PIH's Director of Policy, Programs, and Legislative Initiatives update the PIH radon policy to ensure that program activities comply with the departmentwide policy on radon testing and mitigation requirements.	N/A

2021-OE-0003	6/30/2021	1	<p>HUD OCIO should develop an enterprisewide IT modernization strategy that establishes a framework to align with the IT modernization roadmap. The strategy should define the following:</p> <ul style="list-style-type: none"> a. Roles and responsibilities of key stakeholders throughout the modernization process, including an assigned responsibility to a role within OCIO for maintaining and updating the IT modernization roadmap on a recurring basis. b. Identification and prioritization of modernization initiatives, including initiatives within program offices and enterprise capabilities. c. Coordination process between OCIO and the program offices. d. An overview of each phase of the modernization process, such as the identification of business needs, security considerations, and system or capability implementation. e. How to capture lessons learned, both successes and challenges, to support future modernization initiatives. 	N/A
2021-OE-0003	6/30/2021	2	<p>HUD OCIO should obtain the proper approval and communicate the IT modernization strategy to all appropriate stakeholders, including HUD program offices.</p>	N/A