

List of Open Recommendations to Present

REPORT NUMBER	REPORT DATE	REC #	SAR POSTING	BALANCE DUE
2013-ITED-0001	11/29/2013	36	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	17	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-ITED-0001	4/30/2014	32	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2014-OE-0002	2/12/2016	2	Strengthen DEC's authority to enforce program requirements. Program offices should be directed to incorporate risk management procedures, to include risk-based, data-driven referrals to DEC, and implement a process that allows DEC to recommend enforcement actions independently. The Deputy Secretary or designee should be the final arbiter when disagreements arise.	N/A
2015-OE-0001	11/20/2015	6	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0001	11/20/2015	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2015-OE-0002	9/30/2015	11	Ensure that the Executive Investment Board meets in accordance with IT governance policy (related to recommendation from GAO-15-56)	N/A

2016-OE-0002	6/6/2017	3	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	6/6/2017	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0002	6/6/2017	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0006	11/25/2016	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2016-OE-0006	11/25/2016	11	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	10/31/2017	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	10/31/2017	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2017-OE-0007	10/31/2017	17	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0001	9/13/2018	3	Document the roles and specific responsibilities of all positions assigned privacy responsibilities. B. Communicate these responsibilities on a recurring basis, at least annually, to individuals holding these positions.	N/A
2018-OE-0001	9/13/2018	4	Implement thorough human capital processes to ensure execution of the HUD privacy program and all its requirements	N/A
2018-OE-0001	9/13/2018	14	Ensure role-based privacy training is provided to all personnel with privacy responsibilities	N/A
2018-OE-0001	9/13/2018	15	Ensure privacy awareness training is provided to all contractor and third party personnel	N/A

2018-OE-0001	9/13/2018	20	Develop the technical capability to identify, inventory, and monitor the existence of PII within the HUD environment	N/A
2018-OE-0001	9/13/2018	21	Develop and implement a process to inventory all agency PII holdings not less than annually. [Dependent upon completion of Recommendation 20	N/A
2018-OE-0003	10/31/2018	1	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	12	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	14	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	16	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	22	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	23	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	25	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0003	10/31/2018	30	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2018-OE-0004	8/13/2018	3	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0004	8/13/2018	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2018-OE-0004	8/13/2018	5	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	3	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	6	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
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2019-OE-0002	6/24/2020	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2019-OE-0002	6/24/2020	24	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002	6/24/2020	26	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2019-OE-0002a	6/25/2020	3	Update and obtain final NARA approval of all HUD records retention schedules, including the Capstone email schedule, to comply with Federal requirements, including OMB M-19-21.	N/A
2019-OE-0002a	6/25/2020	4	Develop and approve an enterprise strategy to meet all M-19-21 electronic transition requirements.	N/A
2019-OE-0002a	6/25/2020	5	Issue a formal policy and requirements for managing CUI.	N/A
2019-OE-0002a	6/25/2020	7	Complete the development of performance measures and establish a formal records evaluation process to measure the effectiveness and progress of the records management program.	N/A
2019-OE-0003	2/14/2021	1	Develop and implement a strategy to review Multifamily-funded properties with potential contamination to determine whether site contamination should be considered in future environmental reviews.	N/A
2019-OE-0003	2/14/2021	3	Develop and implement a strategy to review PIH-funded properties with potential contamination to determine whether site contamination should be considered in future environmental reviews.	N/A
2019-OE-0003	2/14/2021	4	Monitor environmental reviews of PIH-funded properties with potential contamination.	N/A
2020-OE-0001	11/30/2020	1	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	2	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	3	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2020-OE-0001	11/30/2020	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	5	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	11	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	13	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	14	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	15	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	16	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	19	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	20	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2020-OE-0001	11/30/2020	22	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	23	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0001	11/30/2020	26	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2020-OE-0002	8/2/2021	1	Standardize position descriptions and job analyses for mission-critical occupations, high-risk occupations, and positions with high-volume staffing needs.	N/A
2020-OE-0002	8/2/2021	2	Develop and share best practices with HUD's program offices on how hiring managers can execute hiring process responsibilities to meet timeliness goals.	N/A
2020-OE-0002	8/2/2021	4	Develop and document comprehensive reference documents on the hiring processes, procedures, roles, and responsibilities.	N/A
2020-OE-0002	8/2/2021	5	Develop and implement regular training for process owners on the hiring process.	N/A
2020-OE-0002	8/2/2021	6	Create and implement a knowledge management strategy, such as developing standard operating procedures, reference sheets, and program office fact sheets.	N/A
2020-OE-0002	8/2/2021	7	Conduct a feasibility study for an automated POL.	N/A
2020-OE-0002	8/2/2021	8	Develop and implement time-to-hire goals that account for each hiring process step.	N/A
2020-OE-0002	8/2/2021	9	Develop and document methodologies on how OCHCO calculates hiring metrics to ensure that its hiring metrics and hiring goals align across all of its data sources, including the POL, the human capital dashboard, and the HCOP.	N/A
2020-OE-0002	8/2/2021	10	Track the quality of candidates as measured by the hiring officials.	N/A
2020-OE-0002	8/2/2021	11	Track the number of recruit attempts that result in a selection, the number of recruit attempts that result in a successful hire, and the number of positions that are reposted due to unsuccessful first recruit attempts.	N/A

2020-OE-0003	4/8/2021	1	Develop and issue a departmentwide policy that notes that radon is a radioactive substance and outlines HUD's requirements to test for and mitigate excessive radon levels in accordance with 24 CFR 50.3(i)(1) and 58.5(i)(2)(i).	N/A
2020-OE-0003	4/8/2021	2	Develop and provide training for applicable program staff, grantees, and PHAs on radon testing and mitigation requirements.	N/A
2020-OE-0003	4/8/2021	3	Develop and implement an effective radon policy to ensure that CPD program activities comply with the departmentwide policy on radon testing and mitigation requirements.	N/A
2020-OE-0003	4/8/2021	4	Update the current Multifamily radon policy to ensure that program activities comply with the departmentwide policy on radon testing and mitigation requirements.	N/A
2020-OE-0003	4/8/2021	5	Revise the current PIH radon policy to align with 24 CFR 50.3(i)(1) and 58.5(i)(2)(i).	N/A
2020-OE-0003	4/8/2021	6	Update the PIH radon policy to ensure that program activities comply with the departmentwide policy on radon testing and mitigation requirements.	N/A
2020-OE-0004	11/17/2021	1	inventory of all system interconnections (derived from OIG FISMA metric 1).	N/A
2020-OE-0004	11/17/2021	2	Develop a departmentwide human capital plan or evaluate and revise existing plans to guide the recruitment, retention, and skill development of staff involved in IT acquisitions. The plan should include related metrics to measure plan implementation and effectiveness.	N/A
2020-OE-0004	11/17/2021	3	Evaluate IT acquisition process workflows and identify ways to simplify processes, facilitate more effective stakeholder coordination across offices, and create efficiencies when possible.	N/A
2020-OE-0004	11/17/2021	4	Establish a centralized acquisition tracking system that allows for input and monitoring by all offices involved with the IT acquisition process by: <ul style="list-style-type: none"> a. Developing a plan with detailed implementation milestones; b. Obtaining appropriate approvals and funding; and c. Implementing a centralized acquisition tracking system, based on the implementation plan and approvals from 4a and 4b. 	N/A

2020-OE-0004	11/17/2021	5	Develop a plan for clearly defining, communicating, and enforcing IT acquisition process standards, including acquisition process roles and responsibilities.	N/A
2021-OE-0001	2/15/2022	1	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	2	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	3	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	4	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	5	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	6	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	7	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	8	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	9	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	10	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	11	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2021-OE-0001	2/15/2022	12	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	13	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	14	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	15	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	16	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	17	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	18	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	19	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	20	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	21	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	22	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A
2021-OE-0001	2/15/2022	23	The OIG has determined that the contents of this recommendation would not be appropriate for public disclosure and has therefore limited its distribution to selected officials.	N/A

2021-OE-0003	6/30/2021	1	<p>HUD OCIO should develop an enterprisewide IT modernization strategy that establishes a framework to align with the IT modernization roadmap. The strategy should define the following:</p> <ul style="list-style-type: none"> a. Roles and responsibilities of key stakeholders throughout the modernization process, including an assigned responsibility to a role within OCIO for maintaining and updating the IT modernization roadmap on a recurring basis. b. Identification and prioritization of modernization initiatives, including initiatives within program offices and enterprise capabilities. c. Coordination process between OCIO and the program offices. d. An overview of each phase of the modernization process, such as the identification of business needs, security considerations, and system or capability implementation. e. How to capture lessons learned, both successes and challenges, to support future modernization initiatives. 	N/A
2021-OE-0003	6/30/2021	2	<p>HUD OCIO should obtain the proper approval and communicate the IT modernization strategy to all appropriate stakeholders, including HUD program offices.</p>	N/A