REPORT NUMBER	REPORT DATE	REC #	SAR POSTING	BALANCE DUE
2014-ITED-0001	4/30/2014	17	The OIG has determined that the contents of this recommendation would not be appropriate for public	N/A
		17	disclosure and has therefore limited its distribution to selected officials.	IN/A
2014-ITED-0001	4/30/2014		The OIG has determined that the contents of this	
		10	recommendation would not be appropriate for public	
		18	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2014-ITED-0001	4/30/2014		The OIG has determined that the contents of this	
		32	recommendation would not be appropriate for public	N/A
		52	disclosure and has therefore limited its distribution to	1N/A
			selected officials.	
2015-OE-0001	11/20/2015		The OIG has determined that the contents of this	
		6	recommendation would not be appropriate for public	N/A
		C C	disclosure and has therefore limited its distribution to	
			selected officials.	
2015-OE-0001	11/20/2015		The OIG has determined that the contents of this	
		18	recommendation would not be appropriate for public	N/A
			disclosure and has therefore limited its distribution to	
2015 05 0000	0/20/2015		selected officials.	
2015-OE-0002	9/30/2015		Ensure that the Executive Investment Board meets in	
		11	accordance with IT governance policy (related to	N/A
2016 OF 0002	6/6/2017		recommendation from GAO-15-56) The OIG has determined that the contents of this	
2016-OE-0002	0/0/201/		recommendation would not be appropriate for public	
		3	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2016-OE-0002	6/6/2017		The OIG has determined that the contents of this	
2010-02-0002	0/0/2017		recommendation would not be appropriate for public	
		9	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2017-OE-0007	10/31/2017		The OIG has determined that the contents of this	
		_	recommendation would not be appropriate for public	
		7	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2017-OE-0007	10/31/2017		The OIG has determined that the contents of this	
		0	recommendation would not be appropriate for public	
		8	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2017-OE-0007	10/31/2017		The OIG has determined that the contents of this	
		17	recommendation would not be appropriate for public	N/A
		1/	disclosure and has therefore limited its distribution to	
	ļ		selected officials.	
2018-OE-0001	9/13/2018		. Document the roles and specific responsibilities of all	
		-	positions assigned privacy responsibilities. B.	
		3	Communicate these responsibilities on a recurring	N/A
			basis, at least annually, to individuals holding these	
2010 05 0001	0/12/2019		positions.	
2018-OE-0001	9/13/2018	Λ	Implement thorough human capital processes to ensure execution of the HUD privacy program and all	N/A
		4		N/A
2018-OE-0001	9/13/2018		its requirements Ensure role-based privacy training is provided to all	
2010-06-0001	9/13/2010	14	personnel with privacy responsibilities	N/A
2018-OE-0001	9/13/2018		Ensure privacy awareness training is provided to all	
2010 01 0001	2/15/2010	15	contractor and third party personnel	N/A
2018-OE-0001	9/13/2018		Develop the technical capability to identify, inventory,	
	271372010	20	and monitor the existence of PII within the HUD	N/A
		20	environment	1 1/ 2 1
2018-OE-0001	9/13/2018		Develop and implement a process to inventory all	
		21	agency PII holdings not less than annually.	N/A
	1 I		[Dependent upon completion of Recommendation 20	

2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
2018-02-0005	10/31/2018		recommendation would not be appropriate for public	
		1	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
2010 02 0000	10/01/2010		recommendation would not be appropriate for public	
		7	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	4 -
		8	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		12	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		14	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		16	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	4 -
		22	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
	10/01/2010		recommendation would not be appropriate for public	
		23	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		25	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0003	10/31/2018		The OIG has determined that the contents of this	
	10/01/2010		recommendation would not be appropriate for public	
		30	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0004	8/13/2018		The OIG has determined that the contents of this	
	0,10,2010		recommendation would not be appropriate for public	
		3	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0004	8/13/2018		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		4	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2018-OE-0004	8/13/2018		The OIG has determined that the contents of this	
		_	recommendation would not be appropriate for public	
		5	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
	6/24/2020		The OIG has determined that the contents of this	
2019-OE-0002			recommendation would not be appropriate for public	
2019-OE-0002				N/A
2019-OE-0002		3	disclosure and has therefore limited its distribution to	1011
2019-OE-0002		3	disclosure and has therefore limited its distribution to selected officials.	1.011
	6/24/2020	3	selected officials.	
2019-OE-0002 2019-OE-0002	6/24/2020	3	selected officials. The OIG has determined that the contents of this	
	6/24/2020	3	selected officials.	N/A

2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
2019-02-0002	0/24/2020		recommendation would not be appropriate for public	
		6	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
		_	recommendation would not be appropriate for public	
		7	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
		0	recommendation would not be appropriate for public	
		9	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		11	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
		1.4	recommendation would not be appropriate for public	
		14	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
		10	recommendation would not be appropriate for public	
		16	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
		10	recommendation would not be appropriate for public	
		18	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002	6/24/2020		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		26	disclosure and has therefore limited its distribution to	N/A
			selected officials.	
2019-OE-0002a	6/25/2020		Update and obtain final NARA approval of all HUD	
		2	records retention schedules, including the Capstone	
		3	email schedule, to comply with Federal requirements,	N/A
			including OMB M-19-21.	
2019-OE-0002a	6/25/2020	Δ	Develop and approve an enterprise strategy to meet	NI/A
		4	all M-19-21 electronic transition requirements.	N/A
2019-OE-0002a	6/25/2020	r.	Issue a formal policy and requirements for managing	
		5	CUI.	N/A
2019-OE-0002a	6/25/2020		Complete the development of performance measures	
		7	and establish a formal records evaluation process to	N/A
		7	measure the effectiveness and progress of the records	1N/A
			management program.	
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		1	recommendation would not be appropriate for public	
		Ĩ	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	_
		2	recommendation would not be appropriate for public	
		2	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		3	recommendation would not be appropriate for public	
		5	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		E	recommendation would not be appropriate for public	
		5	disclosure and has therefore limited its distribution to	

2020 OF 0001	11/20/2020		The OIG has determined that the contents of this	
2020-OE-0001	11/30/2020		recommendation would not be appropriate for public	
		7		
			disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		0	recommendation would not be appropriate for public	
		9	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	,,,,
2020-01-0001	11/50/2020			
		11	recommendation would not be appropriate for public	
			disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		12	recommendation would not be appropriate for public	
		13	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/20/2020		The OIG has determined that the contents of this	
2020-0E-0001	11/30/2020			
		15	recommendation would not be appropriate for public	
			disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
	,,		recommendation would not be appropriate for public	
		16	disclosure and has therefore limited its distribution to	
			selected officials.	NI / A
				N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		18	recommendation would not be appropriate for public	
		10	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
	11,00,2020		recommendation would not be appropriate for public	
		19	disclosure and has therefore limited its distribution to	
				N 1 / A
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		22	recommendation would not be appropriate for public	
		22	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
			recommendation would not be appropriate for public	
		23	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0001	11/30/2020		The OIG has determined that the contents of this	
		26	recommendation would not be appropriate for public	
		20	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2020-OE-0002	8/2/2021		Develop and document comprehensive reference	•
0 0 0 0002	0/2/2021	4	documents on the hiring processes, procedures, roles,	
		4		NI / A
2020 05 0000	0/0/0001		and responsibilities.	N/A
2020-OE-0002	8/2/2021		Create and implement a knowledge management	
		6	strategy, such as developing standard operating	
		0	procedures, reference sheets, and program office fact	
			sheets.	N/A
2020-OE-0003	4/8/2021		Develop and issue a departmentwide policy that notes	
	., 0, 2021		that radon is a radioactive substance and outlines	
		1	HUD's requirements to test for and mitigate excessive	
		1		
			radon levels in accordance with 24 CFR 50.3(i)(1) and	
			58.5(i)(2)(i).	N/A
2020-OE-0003	4/8/2021		Develop and provide training for applicable program	
		2	staff, grantees, and PHAs on radon testing and	
		_	mitigation requirements.	N/A
2020-OE-0003	4/8/2021		Develop and implement and effective radon policy to	,.
2020-0E-0003	4/0/2021			
		3	ensure that CPD program activities comply with the	
		-	departmentwide policy on radon testing and mitigation requirements.	N/A

2020-OE-0003	4/8/2021		Update the current Multifamily radon policy to ensure	
		4	that program activities comply with the	
		-	departmentwide policy on radon testing and	
			mitigation requirements.	N/A
2020-OE-0003	4/8/2021	5	Revise the current PIH radon policy to align with 24	
		3	CFR 50.3(i)(1) and 58.5(i)(2)(i).	N/A
2020-OE-0003	4/8/2021		Update the PIH radon policy to ensure that program	
		6	activities comply with the departmentwide policy on	
			radon testing and mitigation requirements.	N/A
2020-OE-0004	11/17/2021	1	inventory of all system interconnections (derived from	
		I	OIG FISMA metric 1).	N/A
2020-OE-0004	11/17/2021		Develop a departmentwide human capital plan or	
			evaluate and revise existing plans to guide the	
		2	recruitment, retention, and skill development of staff	
		Z	involved in IT acquisitions. The plan should include	
			related metrics to measure plan implementation and	
			effectiveness.	N/A
2020-OE-0004	11/17/2021		Evaluate IT acquisition process workflows and identify	
		2	ways to simplify processes, facilitate more effective	
		3	stakeholder coordination across offices, and create	
			efficiencies when possible.	N/A
2020-OE-0004	11/17/2021		Establish a centralized acquisition tracking system	
			that allows for input and monitoring by all offices	
			involved with the IT acquisition process by:	
			······································	
			a. Developing a plan with detailed implementation	
		4	milestones;	
			b. Obtaining appropriate approvals and funding; and	
			c. Implementing a centralized acquisition tracking	
			system, based on the implementation plan and	
			approvals from 4a and 4b.	N/A
2020 05 0004	11/17/2021			N/A
2020-OE-0004	11/17/2021		Develop a plan for clearly defining, communicating,	
		5	and enforcing IT acquisition process standards,	
			including acquisition process roles and	
			responsibilities.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		1	recommendation would not be appropriate for public	
			disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		2	recommendation would not be appropriate for public	
		£	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		3	recommendation would not be appropriate for public	
		5	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		Δ	recommendation would not be appropriate for public	
		4	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		_	recommendation would not be appropriate for public	
		5	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
2021-OE-0001	2, 10, 2022		recommendation would not be appropriate for public	
	1	6	disclosure and has therefore limited its distribution to	
			selected officials	NI / A
2021 OF 0001	2/15/2022		selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	N/A
2021-OE-0001	2/15/2022	7		N/A

2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
01 0001	-,,	-	recommendation would not be appropriate for public	
		8	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	,
	, ,		recommendation would not be appropriate for public	
		9	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	,,,
	_,,		recommendation would not be appropriate for public	
		10	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	,,,
	2,10,2022		recommendation would not be appropriate for public	
		11	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	N/A
2021-02-0001	2/13/2022		recommendation would not be appropriate for public	
		12	disclosure and has therefore limited its distribution to	
				NI / A
2024 05 0004	2/45/2022		selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		13	recommendation would not be appropriate for public	
			disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		14	recommendation would not be appropriate for public	
		14	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		15	recommendation would not be appropriate for public	
		15	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		10	recommendation would not be appropriate for public	
		16	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	-
			recommendation would not be appropriate for public	
		17	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
	-, 10, 2022		recommendation would not be appropriate for public	
		19	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	IN/A
2021-06-0001	2/15/2022		recommendation would not be appropriate for public	
		20	disclosure and has therefore limited its distribution to	
				NI / A
2024 05 2024	2/45/2022		selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		21	recommendation would not be appropriate for public	
			disclosure and has therefore limited its distribution to	- · · •
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		22	recommendation would not be appropriate for public	
		22	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2021-OE-0001	2/15/2022		The OIG has determined that the contents of this	
		~~	recommendation would not be appropriate for public	
		23	disclosure and has therefore limited its distribution to	

2021-OE-0003	6/30/2021		HUD OCIO should develop an enterprisewide IT	
2021 OL-000J	0/ 30/ 2021		modernization strategy that establishes a framework	
			to align with the IT modernization roadmap. The	
			strategy should define the following:	
			a. Roles and responsibilities of key stakeholders	
			throughout the modernization process, including an	
			assigned responsibility to a role within OCIO for	
			maintaining and updating the IT modernization	
			roadmap on a recurring basis.	
			b. Identification and prioritization of modernization	
		1	initiatives, including initiatives within program offices	
			and enterprise capabilities.	
			c. Coordination process between OCIO and the	
			program offices.	
			d. An overview of each phase of the modernization	
			process, such as the identification of business needs,	
			security considerations, and system or capability	
			implementation.	
			e. How to capture lessons learned, both successes and	
			challenges, to support future modernization	
			initiatives.	N/A
2021-OE-0003	6/30/2021		HUD OCIO should obtain the proper approval and	
		2	communicate the IT modernization strategy to all	
		2	appropriate stakeholders, including HUD program	
			offices.	N/A
2022-OE-0001	9/30/2022		The OIG has determined that the contents of this	
	-,, -		recommendation would not be appropriate for public	
		1	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2022-OE-0001	9/30/2022		The OIG has determined that the contents of this	
	5,55,2022		recommendation would not be appropriate for public	
		2	disclosure and has therefore limited its distribution to	
			selected officials.	N/A
2022-OE-0001	9/30/2022		The OIG has determined that the contents of this	11/7
2022-06-0001	5/50/2022		recommendation would not be appropriate for public	
		3	disclosure and has therefore limited its distribution to	
			selected officials.	NI / A
2022 05 0004	0/20/2022		The OIG has determined that the contents of this	N/A
2022-OE-0001	9/30/2022			
		4	recommendation would not be appropriate for public	
			disclosure and has therefore limited its distribution to	N1 / A
	0/00/0000		selected officials.	N/A
2022-OE-0001	9/30/2022		The OIG has determined that the contents of this	
		5	recommendation would not be appropriate for public	
		-	disclosure and has therefore limited its distribution to	
			selected officials.	N/A